

| Form PTO 1449<br>(Modified)  | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE | ATTY DOCKET NO.<br>285450US8X PCT  | SERIAL NO.<br>10/567,929 |                 |              |  |
|--|--|--|--------------------------|-----------------|--------------|--|
| LIST OF REFERENCES CITED BY APPLICANT  |  | APPLICANT<br>Michael DUERR, et al.   |                          |                 |              |  |
|  |  | FILING DATE<br>November 9, 2006  | GROUP<br>2815            |                 |              |  |
| <b>U.S. PATENT DOCUMENTS</b>   |  |  |                          |                 |              |  |
| EXAMINER<br>INITIAL  | DOCUMENT<br>NUMBER   | DATE   | NAME                     | CLASS           | SUB<br>CLASS | FILING DATE<br>IF APPROPRIATE                                    |
|  | AA   |  |                          |                 |              |  |
|  | AB   |  |                          |                 |              |  |
|  | AC   |  |                          |                 |              |  |
|  | AD   |  |                          |                 |              |  |
|  | AE   |  |                          |                 |              |  |
|  | AF   |  |                          |                 |              |  |
|  | AG   |  |                          |                 |              |  |
|  | AH   |  |                          |                 |              |  |
|  | AI   |  |                          |                 |              |  |
|  | AJ   |  |                          |                 |              |  |
|  | AK   |  |                          |                 |              |  |
|  | AL   |  |                          |                 |              |  |
|  | AM   |  |                          |                 |              |  |
|  | AN   |  |                          |                 |              |  |
| <b>FOREIGN PATENT DOCUMENTS</b>  |  |  |                          |                 |              |  |
|  | DOCUMENT<br>NUMBER   | DATE   | COUNTRY                  | TRANSLATION     |              |  |
|  |  |  |                          | YES             | NO           |  |
|  | AO   |  |                          |                 |              |  |
|  | AP   |  |                          |                 |              |  |
|  | AQ   |  |                          |                 |              |  |
|  | AR   |  |                          |                 |              |  |
|  | AS   |  |                          |                 |              |  |
|  | AT   |  |                          |                 |              |  |
|  | AU   |  |                          |                 |              |  |
|  | AV   |  |                          |                 |              |  |
| <b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>   |  |  |                          |                 |              |  |
|  | AW   | R.B. WEISMAN, et al. "Fluorescence Spectroscopy of Single-Walled Carbon Nanotubes in Aqueous Suspension" Applied Physics A, Materials Science & Processing, Appl. Phys. A 78, 1111-1116 (2004) |                          |                 |              |  |
|  | AX   |  |                          |                 |              |  |
|  | AY   |  |                          |                 |              |  |
|  | AZ   |  |                          |                 |              | <input type="checkbox"/> Additional References sheet(s) attached |
| Examiner   |  |  |                          | Date Considered |              |  |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |  |  |                          |                 |              |  |